



23641

PATENT TRADEMARK OFFICE

BARNES & THORNBURG

600 One Summit Square
Fort Wayne, Indiana 46802
(219) 423-9440

03 CO

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Form: PTO/SB/21 (6-98)

Customer No.: 23641

Application No.: 09/804,667

Filing Date: March 12, 2001

Attorney

Docket No.: 37168/82320

First Named

Inventor: Jeffrey K. Dellinger et al.

Title:

METHOD AND SYSTEM FOR PROVIDING
RETIREMENT INCOME BENEFITS

Art Unit:

2164

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination Division, Assistant Commissioner for Patents, Washington, D.C. 20231

on June 4, 2001


Bobby B. Gillenwater

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment/Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

☒ Response to Notice to File Corrected
Application Papers (Abstract)

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-relating Papers

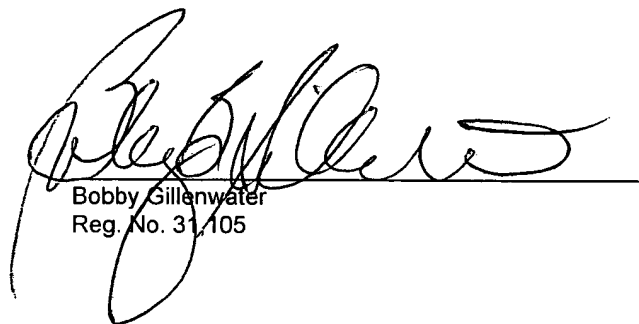
☐ Petition Routing Slip (PTO/SB/69) and
Accompanying Petition

☐ Petition to Convert to a Provisional Application

- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences

- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ **Additional Enclosure(s)**
(please identify below):
Receipt Postcard
Abstract
Copy of Notice To File Corrected
Application Papers

Remarks:



Bobby Gillenwater
Reg. No. 31,105

Date: June 4, 2001

FWDS01 BBG 138581



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Filing Date: March 12, 2001

Attorney

Docket No.: 37168/82320

First Named

Inventor: Jeffrey K. Dellinger et al.

Title: METHOD AND SYSTEM FOR PROVIDING
RETIREMENT INCOME BENEFITS

Art Unit: 2164

Certificate Under 37 CFR 1.8(a)

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Washington, D.C. 20231

on June 4, 2001


Bobby B. Gillenwater

RESPONSE TO NOTICE TO FILE
CORRECTED APPLICATION PAPERS

INITIAL PATENT EXAMINATION DIVISION

Assistant Commissioner of Patents

Washington, D.C. 20231

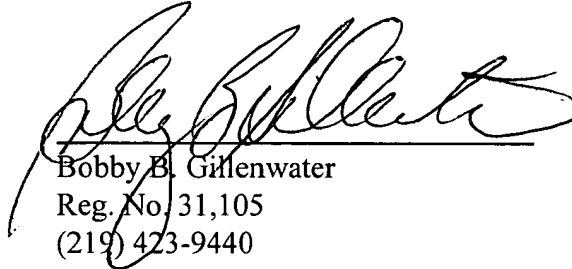
Sir:

In response to the Notice to File Corrected Application Papers mailed April 23, 2001, in connection with the above-identified application, applicant respectfully submits an abstract of the technical disclosure as required under 37 CFR 1.72(b).

Also enclosed herewith is a copy of the Notice to File Corrected Application Papers.

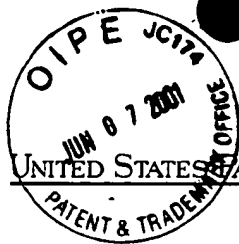
Please charge any additional fees due in connection with the filing of this paper, including any Extension of Time fees to deposit account number 02-1010 and please credit any excess fees to such deposit account.

Respectfully submitted,



Bobby B. Gillenwater
Reg. No. 31,105
(219) 423-9440

BBG:dnl
Enclosures
FWDS01 BBG 138574



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,667	03/12/2001	Jeffrey K. Dellinger	37168/82320

CONFIRMATION NO. 1994

FORMALITIES LETTER



OC000000005994396

Barnes & Thornburg
600 One Summit Square
Fort Wayne, IN 46802

Date Mailed: 04/23/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE